PATENT

Customer No. 24498 Serial No.: 10/555,617

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Wen Gao et al.

Serial No.

10/555,617

Filed

November 4, 2005

For

APPARATUS AND METHOD FOR DECODING IN A HIERARCHICAL.

MODULATION SYSTEM

Examiner

Mohammad H. Ghayour

Group Art Unit

2611

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.97(b) [XX] 1

[within 3 months of filing or prior to 1st Office Action]

[]2 Pursuant to 37 CFR 1.97(c)

[before Final Office Action or Allowance]

[]3 Pursuant to 37 CFR 1.97(d)

[after Final Office Action or Allowance, but prior to payment of Issue Fee]]

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

A list of documents on form PTO/SB/08a and/or PTO/SB/08b together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

[XX] 5	37 CFR	1.97(b):	[within 3 months of filing or prior to 1st Office Action]
[]6	37 CFR	1.97(c):	[before Final Office Action or Allowance, whichever is earlier]; and
	[] (a)	The requi	red certification made in item 8(a) below; OR
	[] (b)		.00 fee specified in 37 CFR 1.17(p) for submission ormation Disclosure Statement is authorized in item 9 below.
[]7	37 CFR	1.97(d):	[after Final Office Action or Allowance, but prior to payment of Issue Fee]; and
	[] (a)	The requi	ired Certification made in item 8(a) below; AND
	[] (b)		0.00 fee specified in 37 CFR 1.17(p) for submission of this on Disclosure Statement is authorized in item 9 below.

[XX] 8 Certification

- [XX] (a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [] (b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [XX] 9 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. <u>07-0832</u>. An original and one (1) copy of this document is enclosed.

Respectfully submitted,

WEN GAO ET AL.

By:

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/555.617 Filing Date November 4, 2005 First Named Inventor Wen Gao et al. Art Unit 2611 Examiner Name Mohammad H. Ghayour

Complete if Known

(Use as many sheets as necessary)

Sheet of | 1 Attorney Docket Number PU030141

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
/AF/	АА	Huber, Johannes, "Trellis Coding", 1992, Springer Verlag, pages 236-249, XP007902473§	х

Examiner	/Aristocratis Fotakis/	Date	09/14/2010	
Signature		Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

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and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.